

- Zimmerman, *Origins of a Catastrophe: Yugoslavia and Its Destroyers—America's Last Ambassador Tells What Happened and Why* (New York: Times Books, 1996), pp. 116–17.
58. See John Maynard Keynes, *The Economic Consequences of the Peace* (New York: Penguin, 1988), chap. 2; and J. M. Roberts, *Europe, 1880–1945* (London: Longman, 1970), pp. 239–41.
59. For information on the Molotov-Ribbentrop Pact of August 1939 and the ensuing cooperation between those states, see Alan Bullock, *Hitler and Stalin: Parallel Lives* (London: HarperCollins, 1991), chaps. 14–15; I.C.B. Dear, ed., *The Oxford Companion to World War II* (Oxford: Oxford University Press, 1995), pp. 780–82; Anthony Read and David Fisher, *The Deadly Embrace: Hitler, Stalin, and the Nazi-Soviet Pact, 1939–1941* (New York: Norton, 1988); Geoffrey Roberts, *The Unholy Alliance: Stalin's Pact with Hitler* (Bloomington: Indiana University Press, 1989), chaps. 8–10; and Adam B. Ulam, *Expansion and Coexistence: Soviet Foreign Policy, 1917–1973*, 2d ed. (New York: Holt, Rinehart, and Winston, 1974), chap. 6.
60. Waltz maintains that structural theories can explain international outcomes—i.e., whether war is more likely in bipolar or multipolar systems—but that they cannot explain the foreign policy behavior of particular states. A separate theory of foreign policy, he argues, is needed for that task. See *Theory of International Politics*, pp. 71–72, 121–23.

MICHAEL W. DOYLE

Liberalism and World Politics

Promoting freedom will produce peace, we have often been told. In a speech before the British Parliament in June of 1982, President Reagan proclaimed that governments founded on a respect for individual liberty exercise “restraint” and “peaceful intentions” in their foreign policy. He then announced a “crusade for freedom” and a “campaign for democratic development” (Reagan, June 9, 1982).

In making these claims the president joined a long list of liberal theorists (and propagandists) and echoed an old argument: the aggressive instincts of authoritarian leaders and totalitarian

ruling parties make for war. Liberal states, founded on such individual rights as equality before the law, free speech and other civil liberties, private property, and elected representation are fundamentally against war this argument asserts. When the citizens who bear the burdens of war elect their governments, wars become impossible. Furthermore, citizens appreciate that the benefits of trade can be enjoyed only under conditions of peace. Thus the very existence of liberal states, such as the U.S., Japan, and our European allies, makes for peace.

Building on a growing literature in international political science, I reexamine the liberal claim President Reagan reiterated for us. I look at three distinct theoretical traditions of liberalism, attributable to three theorists: Schumpeter,

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a brilliant explicator of the liberal pacifism the president invoked; Machiavelli, a classical republican whose glory is an imperialism we often practice; and Kant.

Despite the contradictions of liberal pacifism and liberal imperialism, I find, with Kant and other liberal republicans, that liberalism does leave a coherent legacy on foreign affairs. Liberal states are different. They are indeed peaceful, yet they are also prone to make war, as the U.S. and our "freedom fighters" are now doing, not so covertly, against Nicaragua. Liberal states have created a separate peace, as Kant argued they would, and have also discovered liberal reasons for aggression, as he feared they might. I conclude by arguing that the differences among liberal pacifism, liberal imperialism, and Kant's liberal internationalism are not arbitrary but rooted in differing conceptions of the citizen and the state.

Liberal Pacifism

There is no canonical description of liberalism. What we tend to call *liberal* resembles a family portrait of principles and institutions, recognizable by certain characteristics—for example, individual freedom, political participation, private property, and equality of opportunity—that most liberal states share, although none has perfected them all. Joseph Schumpeter clearly fits within this family when he considers the international effects of capitalism and democracy.

Schumpeter's "Sociology of Imperialisms," published in 1919, made a coherent and sustained argument concerning the pacifying (in the sense of nonaggressive) effects of liberal institutions and principles (Schumpeter, 1955; see also Doyle, 1986, pp. 155–59). Unlike some of the earlier liberal theorists who focused on a single feature such as trade (Montesquieu, 1949, vol. I, bk. 20, chap. 1) or failed to examine critically the arguments they were advancing, Schumpeter saw the interaction of capitalism and democracy as the foundation of

liberal pacifism, and he tested his arguments in a sociology of historical imperialisms.

He defines *imperialism* as "an objectless disposition on the part of a state to unlimited forcible expansion" (Schumpeter, 1955, p. 6). Excluding imperialisms that were mere "catchwords" and those that were "object-ful" (e.g., defensive imperialism), he traces the roots of objectless imperialism to three sources, each an atavism. Modern imperialism, according to Schumpeter, resulted from the combined impact of a "war machine," warlike instincts, and export monopolism.

Once necessary, the war machine later developed a life of its own and took control of a state's foreign policy: "Created by the wars that required it, the machine now created the wars it required" (Schumpeter, 1955, p. 25). Thus, Schumpeter tells us that the army of ancient Egypt, created to drive the Hyksos out of Egypt, took over the state and pursued militaristic imperialism. Like the later armies of the courts of absolutist Europe, it fought wars for the sake of glory and booty, for the sake of warriors and monarchs—wars *gratia* warriors.

A warlike disposition, elsewhere called "instinctual elements of bloody primitivism," is the natural ideology of a war machine. It also exists independently; the Persians, says Schumpeter (1955, pp. 25–32), were a warrior nation from the outset.

Under modern capitalism, export monopolists, the third source of modern imperialism, push for imperialist expansion as a way to expand their closed markets. The absolute monarchies were the last clear-cut imperialisms. Nineteenth-century imperialisms merely represent the vestiges of the imperialisms created by Louis XIV and Catherine the Great. Thus, the export monopolists are an atavism of the absolute monarchies, for they depend completely on the tariffs imposed by the monarchs and their militaristic successors for revenue (Schumpeter, 1955, p. 82–83). Without tariffs, monopolies would be eliminated by foreign competition.

Modern (nineteenth century) imperialism, therefore, rests on an atavistic war machine,

militaristic attitudes left over from the days of monarchical wars, and export monopolism, which is nothing more than the economic residue of monarchical finance. In the modern era, imperialists gratify their private interests. From the national perspective, their imperialistic wars are objectless.

Schumpeter's theme now emerges. Capitalism and democracy are forces for peace. Indeed, they are antithetical to imperialism. For Schumpeter, the further development of capitalism and democracy means that imperialism will inevitably disappear. He maintains that capitalism produces an unwarlike disposition; its populace is "democratized, individualized, rationalized" (Schumpeter, 1955, p. 68). The people's energies are daily absorbed in production. The disciplines of industry and the market train people in "economic rationalism"; the instability of industrial life necessitates calculation. Capitalism also "individualizes"; "subjective opportunities" replace the "immutable factors" of traditional, hierarchical society. Rational individuals demand democratic governance.

Democratic capitalism leads to peace. As evidence, Schumpeter claims that throughout the capitalist world an opposition has arisen to "war, expansion, cabinet diplomacy"; that contemporary capitalism is associated with peace parties; and that the industrial worker of capitalism is "vigorously anti-imperialist." In addition, he points out that the capitalist world has developed means of preventing war, such as the Hague Court and that the least feudal, most capitalist society—the United States—has demonstrated the least imperialistic tendencies (Schumpeter, 1955, pp. 95–96). An example of the lack of imperialistic tendencies in the U.S., Schumpeter thought, was our leaving over half of Mexico unconquered in the war of 1846–48.

Schumpeter's explanation for liberal pacifism is quite simple: Only war profiteers and military aristocrats gain from wars. No democracy would pursue a minority interest and tolerate the high costs of imperialism. When free trade

prevails, "no class" gains from forcible expansion because

foreign raw materials and food stuffs are as accessible to each nation as though they were in its own territory. Where the cultural backwardness of a region makes normal economic intercourse dependent on colonization it does not matter, assuming free trade, which of the "civilized" nations undertakes the task of colonization. (Schumpeter, 1955, pp. 75–76)

Schumpeter's arguments are difficult to evaluate. In partial tests of quasi-Schumpeterian propositions, Michael Haas (1974, pp. 464–65) discovered a cluster that associates democracy, development, and sustained modernization with peaceful conditions. However, M. Small and J. D. Singer (1976) have discovered that there is no clearly negative correlation between democracy and war in the period 1816–1965—the period that would be central to Schumpeter's argument (see also Wilkenfeld, 1968, Wright, 1942, p. 841).

*** A recent study by R. J. Rummel (1983) of "libertarianism" and international violence is the closest test Schumpeterian pacifism has received. "Free" states (those enjoying political and economic freedom) were shown to have considerably less conflict at or above the level of economic sanctions than "nonfree" states. The free states, the partly free states (including the democratic socialist countries such as Sweden), and the non-free states accounted for 24%, 26%, and 61%, respectively, of the international violence during the period examined.

These effects are impressive but not conclusive for the Schumpeterian thesis. The data are limited, in this test, to the period 1976 to 1980. It includes, for example, the Russo-Afghan War, the Vietnamese invasion of Cambodia, China's invasion of Vietnam, and Tanzania's invasion of Uganda but just misses the U.S., quasi-covert intervention in Angola (1975) and our not so covert war against Nicaragua (1981–). More importantly, it excludes the cold war period, with its numerous interventions, and the long history

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of colonial wars (the Boer War, the Spanish-American War, the Mexican Intervention, etc.) that marked the history of liberal, including democratic capitalist, states (Doyle, 1983b; Chan, 1984; Weede, 1984).

The discrepancy between the warlike history of liberal states and Schumpeter's pacifistic expectations highlights three extreme assumptions. First, his "materialistic monism" leaves little room for noneconomic objectives, whether espoused by states or individuals. Neither glory, nor prestige, nor ideological justification, nor the pure power of ruling shapes policy. These nonmaterial goals leave little room for positive-sum gains, such as the comparative advantages of trade. Second, and relatedly, the same is true for his states. The political life of individuals seems to have been homogenized at the same time as the individuals were "rationalized, individualized, and democratized." Citizens—capitalists and workers, rural and urban—seek material welfare. Schumpeter seems to presume that ruling makes no difference. He also presumes that no one is prepared to take those measures (such as stirring up foreign quarrels to preserve a domestic ruling coalition) that enhance one's political power, despite detrimental effects on mass welfare. Third, like domestic politics, world politics are homogenized. Materially monistic and democratically capitalist, all states evolve toward free trade and liberty together. Countries differently constituted seem to disappear from Schumpeter's analysis. "Civilized" nations govern "culturally backward" *regions*. These assumptions are not shared by Machiavelli's theory of liberalism.

Liberal Imperialism

Machiavelli argues, not only that republics are not pacifistic, but that they are the best form of state for imperial expansion. Establishing a republic fit for imperial expansion is, moreover, the best way to guarantee the survival of a state.

Machiavelli's republic is a classical mixed republic. It is not a democracy—which he thought would quickly degenerate into a tyranny—but is characterized by social equality, popular liberty, and political participation (Machiavelli, 1950, bk. 1, chap. 2, p. 112; see also Huliung, 1983, chap. 2; Mansfield, 1970; Pocock, 1975, pp. 198–99; Skinner, 1981, chap. 3). The consuls serve as "kings," the senate as an aristocracy managing the state, and the people in the assembly as the source of strength.

Liberty results from "disunion"—the competition and necessity for compromise required by the division of powers among senate, consuls, and tribunes (the last representing the common people). Liberty also results from the popular veto. The powerful few threaten the rest with tyranny, Machiavelli says, because they seek to dominate. The mass demands not to be dominated, and their veto thus preserves the liberties of the state (Machiavelli, 1950, bk. 1, chap. 5, p. 122). However, since the people and the rulers have different social characters, the people need to be "managed" by the few to avoid having their recklessness overturn or their fecklessness undermine the ability of the state to expand (Machiavelli, 1950, bk. 1, chap. 53, pp. 249–50). Thus the senate and the consuls plan expansion, consult oracles, and employ religion to manage the resources that the energy of the people supplies.

Strength, and then imperial expansion, results from the way liberty encourages increased population and property, which grow when the citizens know their lives and goods are secure from arbitrary seizure. Free citizens equip large armies and provide soldiers who fight for public glory and the common good because these are, in fact, their own (Machiavelli, 1950, bk. 2, chap. 2, pp. 287–90). If you seek the honor of having your state expand, Machiavelli advises, you should organize it as a free and popular republic like Rome, rather than as an aristocratic republic like Sparta or Venice. Expansion thus calls for a free republic.

"Necessity"—political survival—calls for expansion. If a stable aristocratic republic is

forced by foreign conflict "to extend her territory, in such a case we shall see her foundations give way and herself quickly brought to ruin"; if, on the other hand, domestic security prevails, "the continued tranquility would enervate her, or provoke internal disensions, which together, or either of them separately, will apt to prove her ruin" (Machiavelli, 1950, bk. 1, chap. 6, p. 129). Machiavelli therefore believes it is necessary to take the constitution of Rome, rather than that of Sparta or Venice, as our model.

Hence, this belief leads to liberal imperialism. We are lovers of glory, Machiavelli announces. We seek to rule or, at least, to avoid being oppressed. In either case, we want more for ourselves and our states than just material welfare (materialistic monism). Because other states with similar aims thereby threaten us, we prepare ourselves for expansion. Because our fellow citizens threaten us if we do not allow them either to satisfy their ambition or to release their political energies through imperial expansion, we expand.

There is considerable historical evidence for liberal imperialism. Machiavelli's (Polybius's) Rome and Thucydides' Athens both were imperial republics in the Machiavellian sense (Thucydides, 1954, bk. 6). The historical record of numerous U.S. interventions in the postwar period supports Machiavelli's argument (** * Barnet, 1968, chap. 11), but the current record of liberal pacifism, weak as it is, calls some of his insights into question. To the extent that the modern populace actually controls (and thus unbalances) the mixed republic, its diffidence may outweigh elite ("senatorial") aggressiveness.

We can conclude either that (1) liberal pacifism has at least taken over with the further development of capitalist democracy, as Schumpeter predicted it would or that (2) the mixed record of liberalism—pacifism and imperialism—indicates that some liberal states are Schumpeterian democracies while others are Machiavellian republics. Before we accept either conclusion, however, we must consider a third apparent regularity of modern world politics.

Liberal Internationalism

Modern liberalism carries with it two legacies. They do not affect liberal states separately, according to whether they are pacifistic or imperialistic, but simultaneously.

The first of these legacies is the pacification of foreign relations among liberal states. * * *

Beginning in the eighteenth century and slowly growing since then, a zone of peace, which Kant called the "pacific federation" or "pacific union," has begun to be established among liberal societies. More than 40 liberal states currently make up the union. Most are in Europe and North America, but they can be found on every continent, as Appendix 1 indicates.

Here the predictions of liberal pacifists (and President Reagan) are borne out: liberal states do exercise peaceful restraint, and a separate peace exists among them. This separate peace provides a solid foundation for the United States' crucial alliances with the liberal powers, e.g., the North Atlantic Treaty Organization and our Japanese alliance. This foundation appears to be impervious to the quarrels with our allies that bedeviled the Carter and Reagan administrations. It also offers the promise of a continuing peace among liberal states, and as the number of liberal states increases, it announces the possibility of global peace this side of the grave or world conquest.

Of course, the probability of the outbreak of war in any given year between any two given states is low. The occurrence of a war between any two adjacent states, considered over a long period of time, would be more probable. The apparent absence of war between liberal states, whether adjacent or not, for almost 200 years thus may have significance. Similar claims cannot be made for feudal, fascist, communist, authoritarian, or totalitarian forms of rule (Doyle, 1983a, pp. 222), nor for pluralistic or merely similar societies. More significant perhaps is that when states are forced to decide on which side of an impending world war they will fight, liberal states all wind up on the same side despite the

complexity of the paths that take them there. These characteristics do not prove that the peace among liberals is statistically significant nor that liberalism is the sole valid explanation for the peace. They do suggest that we consider the possibility that liberals have indeed established a separate peace—but only among themselves.

Liberalism also carries with it a second legacy: international "imprudence" (Hume, 1963, pp. 346–47). Peaceful restraint only seems to work in liberals' relations with other liberals. Liberal states have fought numerous wars with nonliberal states. (For a list of international wars since 1816 see Appendix 2.)

Many of these wars have been defensive and thus prudent by necessity. Liberal states have been attacked and threatened by nonliberal states that do not exercise any special restraint in their dealings with the liberal states. Authoritarian rulers both stimulate and respond to an international political environment in which conflicts of prestige, interest, and pure fear of what other states might do all lead states toward war. War and conquest have thus characterized the careers of many authoritarian rulers and ruling parties, from Louis XIV and Napoleon to Mussolini's fascists, Hitler's Nazis, and Stalin's communists.

Yet we cannot simply blame warfare on the authoritarians or totalitarians, as many of our more enthusiastic politicians would have us do. Most wars arise out of calculations and miscalculations of interest, misunderstandings, and mutual suspicions, such as those that characterized the origins of World War I. However, aggression by the liberal state has also characterized a large number of wars. Both France and Britain fought expansionist colonial wars throughout the nineteenth century. The United States fought a similar war with Mexico from 1846 to 1848, waged a war of annihilation against the American Indians, and intervened militarily against sovereign states many times before and after World War II. Liberal states invade weak nonliberal states and display striking distrust in dealings with powerful nonliberal states (Doyle, 1983b).

Neither realist (statist) nor Marxist theory accounts well for these two legacies. While they can account for aspects of certain periods of international stability (* * * Russett, 1985), neither the logic of the balance of power nor the logic of international hegemony explains the separate peace maintained for more than 150 years among states sharing one particular form of governance—liberal principles and institutions. Balance-of-power theory expects—indeed is premised upon—flexible arrangements of geostrategic rivalry that include preventive war. Hegemonies wax and wane, but the liberal peace holds. Marxist "ultra-imperialists" expect a form of peaceful rivalry among capitalists, but only liberal capitalists maintain peace. Leninists expect liberal capitalists to be aggressive toward nonliberal states, but they also (and especially) expect them to be imperialistic toward fellow liberal capitalists.

Kant's theory of liberal internationalism helps us understand these two legacies. * * * *Perpetual Peace*, written in 1795 (Kant, 1970, pp. 93–130), helps us understand the interactive nature of international relations. Kant tries to teach us methodologically that we can study neither the systemic relations of states nor the varieties of state behavior in isolation from each other. Substantively, he anticipates for us the ever-widening pacification of a liberal pacific union, explains this pacification, and at the same time suggests why liberal states are not pacific in their relations with nonliberal states. Kant argues that perpetual peace will be guaranteed by the ever-widening acceptance of three "definitive articles" of peace. When all nations have accepted the definitive articles in a metaphorical "treaty" of perpetual peace he asks them to sign, perpetual peace will have been established.

The First Definitive Article requires the civil constitution of the state to be republican. By *republican* Kant means a political society that has solved the problem of combining moral autonomy, individualism, and social order. A private property and market-oriented economy partially addressed that dilemma in the private

sphere. The public, or political, sphere was more troubling. His answer was a republic that preserved juridical freedom—the legal equality of citizens as subjects—on the basis of a representative government with a separation of powers. Juridical freedom is preserved because the morally autonomous individual is by means of representation a self-legislator making laws that apply to all citizens equally, including himself or herself. Tyranny is avoided because the individual is subject to laws he or she does not also administer (Kant, *PP* [*Perpetual Peace*], pp. 99–102 * * *).

Liberal republics will progressively establish peace among themselves by means of the pacific federation, or union (*foedus pacificum*), described in Kant's Second Definitive Article. The pacific union will establish peace within a federation of free states and securely maintain the rights of each state. The world will not have achieved the "perpetual peace" that provides the ultimate guarantor of republican freedom until "a late stage and after many unsuccessful attempts" (Kant, *UH* [*The Idea for a Universal History with a Cosmopolitan Purpose*], p. 47). At that time, all nations will have learned the lessons of peace through right conceptions of the appropriate constitution, great and sad experience, and good will. Only then will individuals enjoy perfect republican rights or the full guarantee of a global and just peace. In the meantime, the "pacific federation" of liberal republics—"an enduring and gradually expanding federation likely to prevent war"—brings within it more and more republics—despite republican collapses, backsliding, and disastrous wars—creating an ever-expanding separate peace (Kant, *PP*, p. 105). Kant emphasizes that

it can be shown that this idea of federalism, extending gradually to encompass all states and thus leading to perpetual peace, is practicable and has objective reality. For if by good fortune one powerful and enlightened nation can form a republic (which is by nature inclined to seek peace), this

will provide a focal point for federal association among other states. These will join up with the first one, thus securing the freedom of each state in accordance with the idea of international right, and the whole will gradually spread further and further by a series of alliances of this kind. (Kant, *PP*, p. 104)

The pacific union is not a single peace treaty ending one war, a world state, nor a state of nations. Kant finds the first insufficient. The second and third are impossible or potentially tyrannical. National sovereignty precludes reliable subservience to a state of nations; a world state destroys the civic freedom on which the development of human capacities rests (Kant, *UH*, p. 50). Although Kant obliquely refers to various classical interstate confederations and modern diplomatic congresses, he develops no systematic organizational embodiment of this treaty and presumably does not find institutionalization necessary (Riley, 1983, chap. 5; Schwarz, 1962, p. 77). He appears to have in mind a mutual nonaggression pact, perhaps a collective security agreement, and the cosmopolitan law set forth in the Third Definitive Article.

The Third Definitive Article establishes a cosmopolitan law to operate in conjunction with the pacific union. The cosmopolitan law "shall be limited to conditions of universal hospitality." In this Kant calls for the recognition of the "right of a foreigner not to be treated with hostility when he arrives on someone else's territory." This "does not extend beyond those conditions which make it possible for them [foreigners] to attempt to enter into relations [commerce] with the native inhabitants" (Kant, *PP*, p. 106). Hospitality does not require extending to foreigners either the right to citizenship or the right to settlement, unless the foreign visitors would perish if they were expelled. Foreign conquest and plunder also find no justification under this right. Hospitality does appear to include the right of access and the obligation of maintaining the opportunity for citizens to exchange goods and ideas without imposing the obligation to trade

(a voluntary act in all cases under liberal constitutions).

Perpetual peace, for Kant, is an epistemology, a condition for ethical action, and, most importantly, an explanation of how the "mechanical process of nature visibly exhibits the purposive plan of producing concord among men, even against their will and indeed by means of their very discord" (Kant, *PP*, p. 108; *UH*, pp. 44-45). Understanding history requires an epistemological foundation, for without a teleology, such as the promise of perpetual peace, the complexity of history would overwhelm human understanding (Kant, *UH*, pp. 51-53). Perpetual peace, however, is not merely a heuristic device with which to interpret history. It is guaranteed, Kant explains in the "First Addition" to *Perpetual Peace* ("On the Guarantee of Perpetual Peace"), to result from men fulfilling their ethical duty or, failing that, from a hidden plan. Peace is an ethical duty because it is only under conditions of peace that all men can treat each other as ends, rather than means to an end (Kant, *UH*, p. 50; Murphy, 1970, chap. 3). * * *

In the end, however, our guarantee of perpetual peace does not rest on ethical conduct. * * * The guarantee thus rests, Kant argues, not on the probable behavior of moral angels, but on that of "devils, so long as they possess understanding" (*PP*, p. 112). In explaining the sources of each of the three definitive articles of the perpetual peace, Kant then tells us how we (as free and intelligent devils) could be motivated by fear, force, and calculated advantage to undertake a course of action whose outcome we could reasonably anticipate to be perpetual peace. Yet while it is possible to conceive of the Kantian road to peace in these terms, Kant himself recognizes and argues that social evolution also makes the conditions of moral behavior less onerous and hence more likely (*CF [The Contest of Faculties]*, pp. 187-89; Kelly, 1969, pp. 106-13). In tracing the effects of both political and moral development, he builds an account of why liberal states do maintain peace among themselves and of how it will (by implication, has) come

about that the pacific union will expand. He also explains how these republics would engage in wars with nonrepublics and therefore suffer the "sad experience" of wars that an ethical policy might have avoided.

* * *

Kant shows how republics, once established, lead to peaceful relations. He argues that once the aggressive interests of absolutist monarchies are tamed and the habit of respect for individual rights engrained by republican government, wars would appear as the disaster to the people's welfare that he and the other liberals thought them to be. The fundamental reason is this:

If, as is inevitability the case under this constitution, the consent of the citizens is required to decide whether or not war should be declared, it is very natural that they will have a great hesitation in embarking on so dangerous an enterprise. For this would mean calling down on themselves all the miseries of war, such as doing the fighting themselves, supplying the costs of the war from their own resources, painfully making good the ensuing devastation, and, as the crowning evil, having to take upon themselves a burden of debts which will embitter peace itself and which can never be paid off on account of the constant threat of new wars. But under a constitution where the subject is not a citizen, and which is therefore not republican, it is the simplest thing in the world to go to war. For the head of state is not a fellow citizen, but the owner of the state, and war will not force him to make the slightest sacrifice so far as his banquets, hunts, pleasure palaces and court festivals are concerned. He can thus decide on war, without any significant reason, as a kind of amusement, and unconcernedly leave it to the diplomatic corps (who are always ready for such purposes) to justify the war for the sake of propriety. (Kant, *PP*, p. 100).

Yet these domestic republican restraints do not end war. If they did, liberal states would not be

warlike, which is far from the case. They do introduce republican caution—Kant’s “hesitation”—in place of monarchical caprice. Liberal wars are only fought for popular, liberal purposes. The historical liberal legacy is laden with popular wars fought to promote freedom, to protect private property, or to support liberal allies against non-liberal enemies. Kant’s position is ambiguous. He regards these wars as unjust and warns liberals of their susceptibility to them (Kant, *PP*, p. 106). At the same time, Kant argues that each nation “can and ought to” demand that its neighboring nations enter into the pacific union of liberal states (*PP*, p. 102). * * *

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* * * As republics emerge (the first source) and as culture progresses, an understanding of the legitimate rights of all citizens and of all republics comes into play; and this, now that caution characterizes policy, sets up the moral foundations for the liberal peace. Correspondingly, international law highlights the importance of Kantian publicity. Domestically, publicity helps ensure that the officials of republics act according to the principles they profess to hold just and according to the interests of the electors they claim to represent. Internationally, free speech and the effective communication of accurate conceptions of the political life of foreign peoples is essential to establishing and preserving the understanding on which the guarantee of respect depends. Domestically just republics, which rest on consent, then presume foreign republics also to be consensual, just, and therefore deserving of accommodation. * * * Because nonliberal governments are in a state of aggression with their own people, their foreign relations become for liberal governments deeply suspect. In short, fellow liberals benefit from a presumption of amity; nonliberals suffer from a presumption of enmity. Both presumptions may be accurate; each, however, may also be self-confirming.

Lastly, cosmopolitan law adds material incentives to moral commitments. The cosmopolitan right to hospitality permits the “spirit of commerce” sooner or later to take hold of every nation, thus impelling states to promote peace and to try to avert war. Liberal economic theory holds that these cosmopolitan ties derive from a cooperative international division of labor and free trade according to comparative advantage. Each economy is said to be better off than it would have been under autarky; each thus acquires an incentive to avoid policies that would lead the other to break these economic ties. Because keeping open markets rests upon the assumption that the next set of transactions will also be determined by prices rather than coercion, a sense of mutual security is vital to avoid security-motivated searches for economic autarky. Thus, avoiding a challenge to another liberal state’s security or even enhancing each other’s security by means of alliance naturally follows economic interdependence.

A further cosmopolitan source of liberal peace is the international market’s removal of difficult decisions of production and distribution from the direct sphere of state policy. A foreign state thus does not appear directly responsible for these outcomes, and states can stand aside from, and to some degree above, these contentious market rivalries and be ready to step in to resolve crises. The interdependence of commerce and the international contacts of state officials help create crosscutting transnational ties that serve as lobbies for mutual accommodation. According to modern liberal scholars, international financiers and transnational and transgovernmental organizations create interests in favor of accommodation. Moreover, their variety has ensured that no single conflict sours an entire relationship by setting off a spiral of reciprocated retaliation * * *. Conversely, a sense of suspicion, such as that characterizing relations between liberal and nonliberal governments, can lead to restrictions on the range of contacts between societies, and this can increase

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the prospect that a single conflict will determine an entire relationship.

No single constitutional, international, or cosmopolitan source is alone sufficient, but together (and only together) they plausibly connect the characteristics of liberal polities and economies with sustained liberal peace. Alliances founded on mutual strategic interest among liberal and nonliberal states have been broken; economic ties between liberal and nonliberal states have proven fragile; but the political bonds of liberal rights and interests have proven a remarkably firm foundation for mutual nonaggression. A separate peace exists among liberal states.

In their relations with nonliberal states, however, liberal states have not escaped from the insecurity caused by anarchy in the world political system considered as a whole. Moreover, the very constitutional restraint, international respect for individual rights, and shared commercial interests that establish grounds for peace among liberal states establish grounds for additional conflict in relations between liberal and nonliberal societies.

Conclusion

Kant's liberal internationalism, Machiavelli's liberal imperialism, and Schumpeter's liberal pacifism rest on fundamentally different views of the nature of the human being, the state, and international relations. Schumpeter's humans are rationalized, individualized, and democratized. They are also homogenized, pursuing material interests "monistically." Because their material interests lie in peaceful trade, they and the democratic state that these fellow citizens control are pacifistic. Machiavelli's citizens are splendidly diverse in their goals but fundamentally unequal in them as well, seeking to rule or fearing being dominated. Extending the rule of the dominant elite or avoiding the political collapse of their state, each calls for imperial expansion.

Kant's citizens, too, are diverse in their goals and individualized and rationalized, but most importantly, they are capable of appreciating the moral equality of all individuals and of treating other individuals as ends rather than as means. The Kantian state thus is governed publicly according to law, as a republic. Kant's is the state that solves the problem of governing individualized equals, whether they are the "rational devils" he says we often find ourselves to be or the ethical agents we can and should become. Republics tell us that

in order to organize a group of rational beings who together require universal laws for their survival, but of whom each separate individual is secretly inclined to exempt himself from them, the constitution must be so designed so that, although the citizens are opposed to one another in their private attitudes, these opposing views may inhibit one another in such a way that the public conduct of the citizens will be the same as if they did not have such evil attitudes. (Kant, *PP*, p. 113)

Unlike Machiavelli's republics, Kant's republics are capable of achieving peace among themselves because they exercise democratic caution and are capable of appreciating the international rights of foreign republics. These international rights of republics derive from the representation of foreign individuals, who are our moral equals. Unlike Schumpeter's capitalist democracies, Kant's republics—including our own—remain in a state of war with nonrepublics. Liberal republics see themselves as threatened by aggression from nonrepublics that are not constrained by representation. Even though wars often cost more than the economic return they generate, liberal republics also are prepared to protect and promote—sometimes forcibly—democracy, private property, and the rights of individuals overseas against nonrepublics, which, because they do not authentically represent the rights of individuals, have no rights to noninterference. These wars may liberate oppressed individuals overseas; they also can generate enormous suffering.

* * *

Perpetual peace, Kant says, is the end point of the hard journey his republics will take. The promise of perpetual peace, the violent lessons

of war, and the experience of a partial peace are proof of the need for and the possibility of world peace. They are also the grounds for moral citizens and statesmen to assume the duty of striving for peace.

APPENDIX 1		
LIBERAL REGIMES AND THE PACIFIC UNION, 1700-1982		
<i>Period</i>	<i>Period</i>	<i>Period</i>
18th Century	Argentina, 1880-	Mexico, 1928-
Swiss Cantons ^a	Chile, 1891-	Lebanon, 1944-
French Republic, 1790-1795	Total=13	Total=29
United States, ^a 1776-Total=3	1900-1945	1945- ^b
1800-1850	Switzerland	Switzerland
Swiss Confederation	United States	United States
United States	Great Britain	Great Britain
France, 1830-1849	Sweden	Sweden
Belgium, 1830-	Canada	Canada
1800-1850 (cont.)	Greece, -1911; 1928-1936	Australia
Great Britain, 1832-	Italy, -1922	New Zealand
Netherlands, 1848-	Belgium, -1940	Finland
Piedmont, 1848-	Netherlands, -1940	Ireland
Denmark, 1849-	Argentina, -1943	Mexico
Total=8	France, -1940	Uruguay, -1973
1850-1900	Chile, -1924; 1932-	Chile, -1973
Switzerland	Australia, 1901	Lebanon, -1975
United States	Norway, 1905-1940	Costa Rica, -1948; 1953-
Belgium	New Zealand, 1907-	Iceland, 1944-
Great Britain	Colombia, 1910-1949	France, 1945-
Netherlands	Denmark, 1914-1940	Denmark, 1945
Piedmont, -1861	Poland, 1917-1935	Norway, 1945
Italy, 1861-	Latvia, 1922-1934	Austria, 1945-
Denmark, -1866	Germany, 1918-1932	Brazil, 1945-1954; 1955-1964
Sweden, 1864-	Austria, 1918-1934	Belgium, 1946-
Greece, 1864-	Estonia, 1919-1934	Luxembourg, 1946-
Canada, 1867-	Finland, 1919-	Netherlands, 1946-
France, 1871-	Uruguay, 1919-	Italy, 1946-
	Costa Rica, 1919-	Philippines, 1946-1972
	Czechoslovakia, 1920-1939	India, 1947-1975; 1977-
	Ireland, 1920-	Sri Lanka, 1948-1961; 1963-1971; 1978-

(continued)

APPENDIX 1 (continued)

1945-(cont.)	Japan, 1951-	Malaysia, 1963-
Ecuador, 1948-1963; 1979-	Bolivia, 1956-1969; 1982-	Botswana, 1966-
Israel, 1949-	Colombia, 1958-	Singapore, 1965-
West Germany, 1949-	Venezuela, 1959-	Portugal, 1976-
Greece, 1950-1967; 1975-	Nigeria, 1961-1964;	Spain, 1978-
Peru, 1950-1962; 1963-	1979-1984	Dominican Republic, 1978-
1968; 1980-	Jamaica, 1962-	Honduras, 1981-
El Salvador, 1950-1961	Trinidad and Tobago, 1962-	Papua New Guinea, 1982-
Turkey, 1950-1960;	Senegal, 1963-	Total=50
1966-1971		

NOTE: I have drawn up this approximate list of "Liberal Regimes" according to the four institutions Kant described as essential: market and private property economies; politics that are externally sovereign; citizens who possess juridical rights; and "republican" (whether republican or parliamentary monarchy), representative government. This latter includes the requirement that the legislative branch have an effective role in public policy and be formally and competitively (either inter- or intra-party) elected. Furthermore, I have taken into account whether male suffrage is wide (i.e., 30%) or, as Kant (*MM [The Metaphysics of Morals]*, p. 139) would have had it, open by "achievement" to inhabitants of the national or metropolitan territory (e.g., to poll-tax payers or householders). This list of liberal regimes is thus more inclusive than a list of democratic regimes, or polyarchies (Powell, 1982, p. 5). Other conditions taken into account here are that female suffrage is granted within a generation of its being demanded by an extensive female suffrage movement and that representative government is internally sovereign (e.g., including, and especially over military and foreign affairs) as well as stable (in existence for at least three years). Sources for these data are Banks and Overstreet (1983), Gastil (1985), *The Europa Yearbook*, 1985 (1985), Langer (1968), U.K. Foreign and Commonwealth Office (1980), and U.S. Department of State (1981). Finally, these lists exclude ancient and medieval "republics," since none appears to fit Kant's commitment to liberal individualism (Holmes, 1979).

*There are domestic variations within these liberal regimes: Switzerland was liberal only in certain cantons; the United States was liberal only north of the Mason-Dixon line until 1865, when it became liberal throughout.

^bSelected list, excludes liberal regimes with populations less than one million. These include all states categorized as "free" by Gastil and those "partly free" (four-fifths or more free) states with a more pronounced capitalist orientation.

APPENDIX 2

INTERNATIONAL WARS LISTED CHRONOLOGICALLY

British-Maharattan (1817-1818)	First Syrian (1831-1832)
Greek (1821-1828)	Texas (1835-1836)
Franco-Spanish (1823)	First British-Afghan (1838-1842)
First Anglo-Burmese (1823-1826)	Second Syrian (1839-1940)
Javanese (1825-1830)	Franco-Algerian (1839-1847)
Russo-Persian (1826-1828)	Peruvian-Bolivian (1841)
Russo-Turkish (1828-1829)	First British-Sikh (1845-1846)
First Polish (1831)	Mexican-American (1846-1848)

(continued)

APPENDIX 2 (continued)

Austro-Sardinian (1848-1849)	Boxer Rebellion (1900)
First Schleswig-Holstein (1848-1849)	Ilinden (1903)
Hungarian (1848-1849)	Vietnamese-Cambodian (1975-)
Second British-Sikh (1848-1849)	Timor (1975-)
Roman Republic (1849)	Saharan (1975-)
La Plata (1851-1852)	Ogaden (1976-)
First Turco-Montenegrin (1852-1853)	Russo-Japanese (1904-1905)
Crimean (1853-1856)	Central American (1906)
Anglo-Persian (1856-1857)	Central American (1907)
Sepoy (1857-1859)	Spanish-Moroccan (1909-1910)
Second Turco-Montenegrin (1858-1859)	Italo-Turkish (1911-1912)
Italian Unification (1859)	First Balkan (1912-1913)
Spanish-Moroccan (1859-1860)	Second Balkan (1913)
Italo-Roman (1860)	World War I (1914-1918)
Italo-Sicilian (1860-1861)	Russian Nationalities (1917-1921)
Franco-Mexican (1862-1867)	Russo-Polish (1919-1920)
Ecuadorian-Colombian (1863)	Hungarian-Allies (1919)
Second Polish (1863-1864)	Greco-Turkish (1919-1922)
Spanish-Santo Dominican (1863-1865)	Riffian (1921-1926)
Second Schleswig-Holstein (1864)	Druze (1925-1927)
Lopez (1864-1870)	Sino-Soviet (1929)
Spanish-Chilean (1865-1866)	Manchurian (1931-1933)
Seven Weeks (1866)	Chaco (1932-1935)
Ten Years (1868-1878)	Italo-Ethiopian (1935-1936)
Franco-Prussian (1870-1871)	Sino-Japanese (1937-1941)
Dutch-Achinese (1873-1878)	Changkufeng (1938)
Balkan (1875-1877)	Nomohan (1939)
Russo-Turkish (1877-1878)	World War II (1939-1945)
Bosnian (1878)	Russo-Finnish (1939-1940)
Second British-Afghan (1878-1880)	Franco-Thai (1940-1941)
Pacific (1879-1883)	Indonesian (1945-1946)
British-Zulu (1879)	Indochinese (1945-1954)
Franco-Indochinese (1882-1884)	Madagascan (1947-1948)
Mahdist (1882-1885)	First Kashmir (1947-1949)
Sino-French (1884-1885)	Palestine (1948-1949)
Central American (1885)	Hyderabad (1948)
Serbo-Bulgarian (1885)	Korean (1950-1953)
Sino-Japanese (1894-1895)	Algerian (1954-1962)
Franco-Madagascan (1894-1895)	Russo-Hungarian (1956)
Cuban (1895-1898)	Sinai (1956)
Italo-Ethiopian (1895-1896)	Tibetan (1956-1959)
First Philippine (1896-1898)	Sino-Indian (1962)
Greco-Turkish (1897)	Vietnamese (1965-1975)
Spanish-American (1898)	Second Kashmir (1965)
Second Philippine (1899-1902)	Six Day (1967)
Boer (1899-1902)	Israeli-Egyptian (1969-1970)

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APPENDIX 2 (continued)

Football (1969)	Ethiopian-Eritrean (1974-)
Bangladesh (1971)	Ugandan-Tanzanian (1978-1979)
Philippine-MNLF (1972-)	Sino-Vietnamese (1979)
Yom Kippur (1973)	Russo-Afghan (1979-)
Turco-Cypriot (1974)	Iran-Iraqi (1980-)

NOTE: This table is taken from Melvin Small and J. David Singer (1982, pp. 79-80). This is a partial list of international wars fought between 1816 and 1980. In Appendices A and B, Small and Singer identify a total of 575 wars during this period, but approximately 159 of them appear to be largely domestic, or civil wars.

This list excludes covert interventions, some of which have been directed by liberal regimes against other liberal regimes—for example, the United States' effort to destabilize the Chilean election and Allende's government. Nonetheless, it is significant that such interventions are not pursued publicly as acknowledged policy. The covert destabilization campaign against Chile is recounted by the Senate Select Committee to Study Governmental Operations with Respect to Intelligence Activities (1975, *Covert Action in Chile, 1963-73*).

Following the argument of this article, this list also excludes civil wars. Civil wars differ from international wars, not in the ferocity of combat, but in the issues that engender them. Two nations that could abide one another as independent neighbors separated by a border might well be the fiercest of enemies if forced to live together in one state, jointly deciding how to raise and spend taxes, choose leaders, and legislate fundamental questions of value. Notwithstanding these differences, no civil wars that I recall upset the argument of liberal pacification.

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